## Group Life and Accidental Death Claim Forms for Employee or Dependent



To the Employer							
<ul><li>Please read all ins</li><li>All claims must</li></ul>	ed employee, or their loved one tructions below regarding comp be submitted, along with the be r/Employer shall certify to that	pletion of these for eneficiary design	orms. ation form(s) on file with				
-	mail to: The Hartford Group Life Claims P.O. Box 14299 Lexington, KY 40512	Ву Ву	Fax to: 1-866-954-2621 E-Mail to: gbclaimcslif		nartford.com		
	ER'S STATEMENT - TO BE CO e employee qualifies for any o				ubmit the claim a	ccordingly)	
Policy Number(s):	Life/AD&D: AD	&D:	Business Travel Acc	cident:			
Group Policyholde	r/Employer Name:						
Name of Insured/E	Employee:				Social Security Number:		
Employee's Full A	ddress:				Date of Birth:	Date of Death:	
If you already ha	ve a copy of the death certific	ate, please sub	mit it with the claim ap	oplicatio	on.		
Insured/Employee	's Marital Status (if known):	Married Divo	ced Single Wide	owed	Partnered	Jnknown	
Date of Hire:	Effective date of employee's Insurance:	Salaried E	Branch/Location:	Oc	cupation:		
Classification:	Full-time Part-time Hou	rly Salaried	Union Other (plea	ise expla	in):		
Employee's actual date last physically at work:							
	oved for Long Term Disability		Applied for Conversion		Applied for Po	-	
	ISURANCE BEING CLAIMED FO			_	-		
<ul> <li>Form is to be completed in its entirity and signed by the Official Representative of the Policyholder/Employer on page 2.</li> <li>Submission of claims on any voluntary or contributory Life plans, including Dependent coverage, must include copies of paper enrollment forms and/or online enrollment screen prints of current and two prior plan years for history of benefit elections and timely enrollment.</li> <li>AD&amp;D Amount(s) should only be included if death was due to an accident.</li> </ul>							
Basic Life: \$			Supplemental Life: \$				
AD&D Basic: \$			AD&D Supplemental: \$	6			
Earnings, if used to calculate Benefit Amount (reported earnings should be as defined in your policy. Attach W-2 if applicable)							
Employee's Rate of Earnings used to calculate benefit Amount: \$ Hourly Weekly Monthly Annually W-2         Regular number of hours scheduled to work       Effective date of above reported       Do earnings include commissions or							
(if applicable):	nours scheduled to work	earnings:		Do earn bonuses			
If Supplemental Li Annual Enrollment Date elected:	fe coverage is in force, was this ?: Yes No e claimed above reflect age redu	elected during	Did employee complete				
	-						

#### Please continue on next page

The Hartford® is The Hartford Financial Services Group, Inc. and its subsidiaries, including underwriting companies Hartford Life and Accident Insurance Company and Hartford Fire Insurance Company. Home Office is Hartford, CT. The Hartford is the administrator for certain group benefits business written by Aetna Life Insurance Company and Talcott Resolution Life Insurance Company (formerly known as Hartford Life Insurance Company). The Hartford also provides administrative and claim services for employer leave of absence programs and self-funded disability benefit plans.

# Group Life and Accidental Death Claim Forms for Employee or Dependent



PART I - EMPLOYER'S STATEMENT (2 OF 2) BENEFICIARY / CONTACT INFORMATION - TO BE CO	OMPLETED E	3Y EMPLOYER/TPA I	FOR ALL CLAIMS	HARTFORD		
<ul> <li>Do you have beneficiary designations on file? Ye</li> <li>Please provide beneficiary contact information of kin or insured's emergency contact</li> </ul>	s No	If Yes, please include	e all designations with your claim			
<ul> <li>Has the beneficiary completed a Funeral Home Assig</li> <li>If Yes, please include the Funeral Assignment</li> <li>If No, please provide any Funeral Home information</li> </ul>	with your cla	imsubmission	Yes No			
Name of Insured/Employee:			Social Security No .:			
Beneficiary Name:	Dat	e of Birth:	Relationship:			
Full Mailing address:			1 1			
Telephone Number: ( ) Cell Number: (	)	E-mail Address:				
Beneficiary Name:	Dat	e of Birth:	Relationship:			
Full Mailing address:						
Telephone Number: ( )     Cell Number: (	)	E-mail Address:				
Beneficiary Name:	Dat	e of Birth:	Relationship:			
Full Mailing address:						
Telephone Number:     Cell Number:	)	E-mail Address:				
DEPENDENT INFORMAT	TION - ONLY	COMPLETE FOR DE	EPENDENT CLAIM			
<ul> <li>If dependent claim is for a child, provide necessary dependent child was incapacitated, as applicable. C</li> </ul>	paperwork to Our claim team	support the depende n can help you if you'r	ent was a full-time student OR sup re unsure what paperwork is nece	port the ssary.		
Full name of Deceased Dependent	Deceased S Number	ocial Security Date	of Birth Date of Death Relation	ship to Employee		
Last Residence (number, street, City, State, Zip Code)		Actively at Work?	Yes No Have premiums be eason on page 1 this dependent?	en paid to date for Yes No		
		time student? Yes ude school enrollment vo	No If Yes, Was dependent chil erification incapacitated?	d Yes 🗌 No		
AMOUNT OF INSU	RANCE BEIN	IG CLAIMED FOR D	EPENDENT			
<ul> <li>Submission of claims on any voluntary or contributory Life plans, including Dependent coverage, must include copies of paper enrollment forms and/or online enrollment screen prints of current and two prior plan years for history of benefit elections and timely enrollment</li> </ul>						
<ul> <li>Include AD&amp;D amount(s) only if death was due to a</li> </ul>	an accident					
Basic Life: \$ Supplemental Life: \$		D&D Basic: \$	AD&D Supplemental: \$			
If Supplemental Dependent Life coverage is in force, was Annual Enrollment?: Yes No Date elected:		Dependen		oleted:		
Dependent benefit is a: Flat Amount Percentage If a percentage, please complete amount of employee ins Indicate if any of the following apply to this Employee:	of Employee's surance above		erage claimed reflect age reduction(	s)?		
Has been approved for LBO/Accelerated Death Benefits     Has been Approved for Waiver of Premium						
It as been approved for Loop Term Disability       It as been approved for Valver of Premium						
TRAVEL INFORMATION - ONLY	COMPLETE	E FOR BUSINESS TI	RAVEL ACCIDENT CLAIMS			
• If available, please include any travel itineraries, in	ncident repor	ts or police reports				
Trip Begin Date: Scheduled Trip End D	ate.	Injury sustaine	d during: Work Activity	Pleasure Activity		
Amount of BTA Insurance claimed: \$D	ate of Acciden	t:Time of	of Accident (hr, min) A	AM PM		
Place of Accident: Fully describe the circumstances of the Accident and nature of Injuries, if known: (Include incident/police reports as available; attach separate sheet, if necessary)						
EMPLOYER CERTIFICATION						
I hereby certify that the information provided on the Emp I agree that this information is subject to audit by The Ha			e, according to the records of the E	mployer.		
Employer	Ad	dress				
Signature	Da	te Their	Authorized Representative (Please	e print)		
( )			( )			
Telephone Number E-mail Address			Facsimile Number			

# Group Life and Accidental Death Claim Forms for EMPLOYEE or Dependent



#### PART II - BENEFICIARY'S STATEMENT (1 of 2)

#### Release of claim forms is not an admission of coverage under a policy for an employer, group or organization

We know this is a difficult time, and we want to assist you in filing your claim as quickly as possible. Please read all instructions below regarding completion of these forms. Also, please read the "Important Notice" on page 6.

- This form is to be completed in its entirety indicating your current address, date of birth and Social Security Number.
- If the claim proceeds are payable to an Estate, the beneficiary section below must be completed by the Executor or Administrator of the Estate. An official certificate of such person's legal appointment and qualification must be attached to this form. Please include the Estate Tax Identification Number. If none available, please explain.
- If the claim proceeds are payable to a Trust, the beneficiary section below must be completed by the Trustee and/or Successor Trustee(s) of the Trust. Applicable Trust pages indicating the name and date of the Trust; name of Trustee and Successor Trustee; and signature pages, must be attached to this form. Please include the Trust Tax Identification Number. If none available, please explain.
- If any designated beneficiary is a minor, the beneficiary section below must be completed by a custodian or guardian. Include the minor's Social Security Number and copy of the minor's birth certificate. Letters of Guardianship/Conservatorship and the supporting Court Order appointing the guardian/conservator for the minor's estate or property must also be included, if applicable.
- If the claim is for a dependent child enrolled in an accredited school of higher learning, submitted documents should include a student enrollment form executed by the school, applicable if required under the Policy.
- If the claim submitted is for a Foreign Death, Include both the Official Death Certificate and the Death of American Citizen abroad form. Please note that additional documents may be required upon claim review.

The Company reserves the right to require or to obtain further proof of information should it be deemed necessary.

Name of Deceased:	Date of Death:	Claim Number (if known):		
Deceased's Permanent Address:	I			
Deceased's Marital Status: Married Divorced Single	Widowed Partnered			
If the death certificate has been made available to you, please mark the manner of death:				
Natural Accident Homicide Suicide Pending/Undetermined/Unknown Cause (if known):				
Please provide a copy of the death certificate with your submission. If not available, please submit as soon as possible. If the death was due to an accident, please note there is an additional questionnaire to complete on page 5.				
Please provide the Funeral Home information:				
Name: Cont	act Person:	Telephone Number: ()		

#### Please continue on next page to provide payment information

## **Group Life and Accidental Death Claim Forms** for EMPLOYEE or Dependent



#### PART II - BENEFICIARY'S STATEMENT (2 of 2)

GROUP POLICYHOLDER/EMPLOYER NAME:		
Name of Insured/Employee:	Date of Birth:	Social Security Number:

#### Substitute W-9 Statement

- Under penalties of perjury, I certify that:(1) the number shown on this form is my correct taxpayer identification; and
- I am not subject to a back-up withholding, because, (a) I am exempt from back-up withholding; or (b) I have not been notified (2) by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest and dividends; or (c) the IRS has notified me that I am no longer subject to back-up withholding; and
- (3) I am a U.S. person (including a U.S. resident alien).

Certification Instructions: You must cross out item (2) above, if you have been notified by the IRS that you are currently subject to back-up withholding, because, you have failed to report all interest and dividends on your tax return.

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

Signature:

Date:

#### **DEATH BENEFIT PAYMENT**

We will issue the full amount of the insurance proceeds payable to you by check, provided we have received all necessary documentation.

Beneficiary Name: (print)		Date of Birth:	Relationship:		
Citizenship: U.S. citizen U.S. resident Non-resident alien (Request a W-8BEN)					
Complete Mailing Address: (Number & Street)	Beneficiary's Social Security Number or				
		Estate /Trust Tax ID:			
(City, State & Zip Code)		Telephone Number:			
		Day:( )	Evening: ( )		
Personal Cell Telephone Number: ()	May we have your a	uthorization to leave confidentia	al medical and benefit information on		
your personal cell phone? Yes No and/or request the	nis by e-mail? 🗌 Yes	No Please initial here:	to confirm your election		
By signing below:					
(1) I Hereby Certify and Agree that I have read and understand the IMPORTANT NOTICE on page 6 of this claim form package.					
(2) I Understand and Agree that payment of the claim proceeds according to any alternate mode of settlement specified in the policy will only be made if the Company receives a written request for such alternate method of payment from me prior to the payment of the claim proceeds.					
(3) I Hereby Certify that the information provided on this Beneficiary Statement is true and complete, to the best of my knowledge.					
(4) I Understand and Agree that if I receive claim proceeds which are not due to me, I will reimburse The Hartford.					
Signature:	Date:	E-mail address:			
X					

#### Group Life and/or Accidental Death Claim Form for EMPLOYEE or DEPENDENT



#### Claimant's Statement of Accidental Death (complete only if death was due to an accident)

- If death was due to an accident, death certificate must be submitted at time of claim
- If you do not know or have a response to a question, please indicate "N/A"
- You must complete and sign the Authorization to Obtain and Disclose Information found on pages 7 and 8

Group Policyholder/Employer Name:					
Group Policy Number(s): Life/AD&D:	AD&D	):	Business Travel Accident:		
Name of Insured/Employee:			Social Security Number:		
Name of Deceased: (if different from above)		Age:	Relationship to Employee: Spouse Child		
Has a Workers' Compensation claim been filed? Yes No If "Yes," what is the status of the claim?					
On what date did the accident happen?	Whe	re did the a	accident happen? City:State:		
Please describe injuries received:					
Did accident result in death? Yes No If "Yes," on	what o	late?			
If injury was sustained while traveling on policyholder/employer business, please complete the following: Trip Begin Date: Scheduled Trip End Date:					
Injury was sustained during:  Work Activity	Pleasu	ire Activity	/		
For all accident claims, please complete the following:					
Describe in detail how the accident happened:					
Name and address of law enforcement agency involved: (Please submit copy of Police Accident Report and/or Case Number)					
List name/address/phone number of all physicians consulted for the injury/death:					
List name/address/phone number of all hospitals consulted:					
Did the deceased have any chronic disease or physical defect or deformity? 🗌 Yes 📄 No If "Yes", describe in detail:					
Was an autopsy performed? Yes No If "Yes," provide name/address/telephone number of coroner, if known:					
Was an inquest held? Yes No If "Yes", verdict:					

Please complete and sign the Authorization to Obtain and Disclose Information, pages 7 and 8

#### Important Notice - Please read the statement that applies to your state of residence and sign the bottom of the page.

For residents of all states EXCEPT Arizona, Alabama, California, Colorado, Florida, Kentucky, Maine, Maryland, New Jersey, New York, Ohio, Oklahoma, Oregon, Pennsylvania, Puerto Rico, Tennessee, Virginia and Washington: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

**For Residents of Arizona:** For your protection Arizona law requires the following statement to appear on this form. Any person who knowingly presents a false or fraudulent claim for payment of a loss is subject to criminal and civil penalties.

**For Residents of Alabama:** Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or who knowingly presents false information in an application for insurance is guilty of a crime and may be subject to restitution fines or confinement in prison, or any combination thereof.

**For Residents of California:** For your protection, California law requires the following to appear on this form: Any person who knowingly presents false or fraudulent claim for the payment of a loss is guilty of a crime and may be subject to fines and confinement in state prison.

**For residents of Colorado:** It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance, and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete, or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement award payable from insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.

For residents of Florida: Any person who knowingly and with intent to injure, defraud, or deceive any insurer files a statement of claim or an application containing any false, incomplete, or misleading information is guilty of a felony of the third degree.

**For residents of Kentucky:** Any person who knowingly and with intent to defraud any insurance company or other person files a statement of claim or an application for insurance containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.

**For residents of Maine, Tennessee, and Washington:** It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties may include imprisonment, fines and denial of insurance benefits.

**For Residents of Maryland:** Any person who knowingly or willfully presents a false or fraudulent claim for payment of a loss or benefit and who knowingly or willfully presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

For residents of New Jersey: Any person who knowingly files a statement of claim containing any false or misleading information is subject to criminal and civil penalties. Any person who includes any false or misleading information on an application for insurance policy is subject to criminal and civil penalties.

For residents of Ohio: Any person who, with intent to defraud or knowing he is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement is guilty of insurance fraud.

For residents of Oklahoma: WARNING: Any person who knowingly, and with intent to injure, defraud or deceive any insurer, makes any claim for the proceeds of an insurance policy containing any false, incomplete or misleading information is guilty of a felony.

**For residents of Oregon:** Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto that the insurer relied upon is subject to a denial and/or reduction in insurance benefits and may be subject to any civil penalties available.

**For residents of Pennsylvania:** Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material hereto commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

**For residents of Puerto Rico:** Any person who knowingly and with the intention of defrauding presents false information in an insurance application, or presents, helps, or causes the presentation of a fraudulent claim for the payment of a loss or any other benefit, or presents more than one claim for the same damage or loss, shall incur a felony and, upon conviction, shall be sanctioned for each violation by a fine of not less than five thousand dollars (\$5,000) and not more than ten thousand dollars (\$10,000), or a fixed term of imprisonment for three (3) years, or both penalties. Should aggravating circumstances be present, the penalty thus established may be increased to a maximum of five (5) years, if extenuating circumstances are present, it may be reduced to a minimum of two (2) years.

For residents of Virginia: Any person who, with the intent to defraud or knowing that he is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement may have violated the state law.

For residents of New York: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.

The statements contained in this form are true and complete to the best of my knowledge and belief.

Signature

### AUTHORIZATION TO OBTAIN AND DISCLOSE INFORMATION



I allow all doctors, hospitals, other health care providers, pharmacy, pharmacy benefit managers, government agencies (including, but not limited to, Federal, State or Local, and the Social Security Administration and Veterans Administration), insurers, employers, financial institutions, educational institutions, health plans, health insurance carriers, policyholders, contract holders, vendors, health and benefit insurers and administrators or their successors ("Records Holders") to give to and discuss with The Hartford and its representatives, the following personal, private, or privileged information, records, or documents related to:

Insured's Name (Please Print)

Date of Birth

Employer/Policyholder's Name:

Any and all medical information or records, including medical histories, physical, mental, or diagnostic examinations, pharmaceutical records, and treatment notes, and including information regarding HIV/AIDS, communicable diseases, alcohol or drug abuse, and mental health; work and performance information and history, including job duties and earnings; information on any insurance coverage and claims filed, including all records and information related to such coverage and claims; financial information, including pension benefits and bank records; business transaction billing and payment records; academic transcripts; and any and all information concerning Social Security or other government benefits, including monthly benefit amounts, monthly payment amounts, entitlement dates, and information from my Master Beneficiary Record. The information obtained by use of this Authorization will be used by The Hartford (including subsidiaries and affiliates) for the purpose of evaluating and administering my claim(s) for benefits and /or leave request(s) and/or request(s) for accommodation. Such information shall be referred to herein collectively as "My Information."

I understand that once My Information has been disclosed to The Hartford as permitted under this Authorization, it may be re-disclosed by The Hartford as permitted by law or my further authorization. Without limiting the foregoing, I authorize The Hartford to use or disclose My Information (i) to my employer for: a) functions related to accommodating my restrictions/limitations, including in accordance with law; b) responding to claims related to accommodation, adverse or discriminatory treatment related to my claim or condition; c) responding to complaints by me or my representative relating to benefits, leave or accommodation; d) responding to any litigation, agency or regulatory proceeding, or lawful subpoena (including regarding employment claims); e) federal, state, or other leave administration; f) fulfilling fiduciary obligations under my benefit plan; or (g) claim, other audits or benefit program reviews; (ii) to administrators or other service providers, including health and wellness vendors, of my employer's benefit plan(s) and/or programs, including leave management, for plan, benefit, or program related functions or data aggregation and analysis; (iii) to any electronic claim systems or programs or third party vendors used for claims administration or processing or to any insurance broker to carry out functions related to my benefit plan/program or claim; (iv) to any health care professional who has treated or evaluated me or who may do so; (v) to other persons or entities performing business, medical, or legal services related to my claim; (vi) for other insurance, reinsurance or analytical purposes, including workers' compensation insurance. Social Security Disability insurance, or subrogation or reimbursement purposes ; (vii) as may be lawfully required; (viii) as may be reasonably necessary to protect the personal safety of others or myself; (ix) as may be reasonably necessary to respond to regulatory or similar complaints; and (x) as may be reasonably necessary to prevent or detect perpetration of a fraud (all entities and individuals listed in this paragraph including The Hartford defined as "Benefits Manager(s)"). / understand that My Information disclosed to Benefits Managers and re disclosed could include HIV/AIDS, other communicable diseases and mental health records.

I understand that My Information disclosed to Benefits Managers pertaining to certain alcohol or drug abuse treatment is protected by federal (42 CFR Part 2) and state confidentiality rules and statutes, which prohibit any further disclosure of this information without my express written consent, or as otherwise permitted by such rules and statutes. I understand that a general authorization for the release of medical or other information is NOT sufficient for release of certain types of alcohol or drug abuse treatment records.

#### (Continue to next page)

#### Therefore:

If any of my records contain information about alcohol or drug abuse, then, by checking this box, I hereby expressly allow my Benefits Managers to use or give out such information to evaluate, analyze, manage and/or administer the benefits program. I understand that the federal rules restrict any use of the Information to criminally investigate or prosecute any drug or alcohol abuse patient.

I understand that once my Information is given out as allowed in this form, federal privacy laws may not protect it and it may be re-disclosed by The Hartford. I also understand that information disclosed pursuant to this Authorization may be subject to re-disclosure by the recipient. The Authorizations set forth herein expire two years from the date listed below, or upon my revocation, if earlier, but will not exceed the term of my coverage under the policy(ies) or benefit plan or program, except as may be reasonably necessary to prevent or detect perpetration of a fraud, adjudicate a benefits claim, respond to regulatory or similar complaints, or protect the personal safety of others or myself.

If I change my mind about this Authorization before that time is up, I can tell my Records Holders and The Hartford in writing that I do not want them to share any more information with other parties. If I revoke my Authorization by telling them in writing to stop sharing information with other parties, it will not change any actions they took before I revoked my permission. If I do not sign this Authorization, it will not affect how my health care providers treat me. However, if I do not sign, The Hartford may not be able to review my claim and determine whether I am eligible for benefits. This may result in denial of my request for benefits.

The Information released under this Authorization can be submitted to The Hartford electronically, by phone or fax, or by mail. I agree that a copy of this Authorization may be treated as a signed original. I understand that I am entitled to receive a copy of this Authorization upon request. If there is a conflict between a prior request for restriction on the disclosure of My Information and this Authorization, this Authorization will control.

#### NOTICE TO INFORMATION PROVIDERS:

The Genetic Information Nondiscrimination Act of 2008 (GINA) prohibits employers and other entities covered by GINA Title II from requesting or requiring genetic information of an individual or family member of the individual, except as specifically allowed by this law. To comply with this law, we are asking that you not provide any genetic information when responding to this request for medical information. 'Genetic information' as defined by GINA, includes an individual's family medical history, the results of an individual's or family members genetic tests, the fact that an individual or an individuals' family member sought or received genetic services, and genetic information of a fetus carried by an individual or an individual's family member or an embryo lawfully held by an individual or family member receiving assistive reproductive services. *Please note that it is appropriate under GINA to provide family medical history when an employee is requesting leave to care for a family member*.

Signature of Claimant or Legal Representative

Date

Name and Relationship to Claimant (if signed by Legal Representative)

Form must be signed and dated.